SANTOSH KUMAR KEJRIWAL SECURITIES PVT LTD

2,Brabourne Road,6th Floor, Govind Bhawan, Kolkata-700001
Tel:033 39837700,22254840 Fax.-033 39837768
Email: skkspl@kejriwalgroup.in

FATCA/CRS DECLARATION FORM

Self-Certification for Entities

To: Santosh Kumar Kejriwal Securities Pvt Ltd

Date : ____________________

Client ID/UCC:	BO ID:	Residential ☐ Resident ☐ Non-Resident
Client Name :		

Part I

A. Is the account holder a Government body/International Organization/listed company on recognized stock exchange If "No", then proceed to point B If "yes" please specify name of stock exchange, if you are listed company ______________________________, and proceed to sign the declaration	☐ Yes ☐ No
B. Is the account holder a (Entity/Financial Institution) tax resident of any country other than India If "yes", then please fill of FATCA/ CRS Self certification Form If "No", proceed to point C	☐ Yes ☐ No
C. Is the account holder an Indian Financial Institution If "yes", please provide your GIIN, if any, ______________________________ If "No", proceed to point D	☐ Yes ☐ No
D. Are the Substantial owners or controlling persons in the entity or chain of ownership resident for tax purpose in any country outside India or not an Indian citizen If "yes", (then please fill FATCA/ CRS self-certification form)). If "No", proceed to sign the declaration	☐ Yes ☐ No

Customer Declaration

( ) Under penalty of perjury, I/we certify that:

1. The applicant is:
   (i) An applicant taxable as a US person under the laws of the United States of America ("U.S.") or any state or political subdivision thereof or therein, including the District to Columbia or any other states of the U.S.,
   (ii) An estate the income of which is subject to U.S. federal income tax regardless of the source thereof. (This clause is applicable only if the account holder is identified as a US person)
2. The applicant is an applicant taxable as a tax resident under the lows of country outside India.
   (ii) I/We understand that Santosh Kumar Kejriwal Securities Pvt Ltd is relying on this information for the purpose of determining the status of the applicant named above in compliance with FATCA/CRS. Santosh Kumar Kejriwal Securities Pvt Ltd is not able to offer any tax advice on FATCA/CRS or its impact on the applicant. I/we shall seek advice from professional tax advisor for any tax questions.
   (iii) I/We agree to submit a new form within 30 days if any information or certification on this form becomes incorrect.
   (iv) I/We agree that as may be required by domestic regulators/tax authorities Santosh Kumar Kejriwal Securities Pvt Ltd may also be required to report, reportable details to CBDT or close or suspend my account.
   (v) I/We certify that I/we provide the information on this form and to the best of my/our knowledge and belief the certification is true, correct, and complete including the taxpayer identification number of the applicant.

Name of the Entity

Signature 1 ______________________ Signature 2 ______________________

Signature 3 ______________________ (As per MOP)

Date: ______________________

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Self- Certification for Entities

Part II

Self-Certification Form (Entity) for Foreign Account Tax Compliance Act ("FATCA") and Common Reporting Standards (CRS)

Section 1: Entity information

Name of the Entity	
Customer ID (if existing)	
Entity Constitution Type	
Entity Identification type	☐ Tax Identification Number (TIN) ☐ U.S. GIIN ☐ Company Identification Number ☐ Global Entity Identification Number (EIN) ☐ Other
Entity Identification No	
Entity Identification issuing Country	
Country of Residence for tax Purpose	

Section 2: Classification of Non-Financial entities

I/We (on behalf of the entity) certify that the entity is:	
a) An entity incorporated and taxable in US (Specified US person)	☐ Yes ☐ No
If "Yes", please provide your U.S. Taxpayer Identification Number (TIN) TIN	______________ TIN
b) An entity incorporated and taxable outside of India (other than US)	☐ Yes ☐ No
If "Yes", please provide your TIN or its functional equivalent Provide your TIN issuing country	______________TIN
c) Please provide the following additional details if you are not a Specified US Person:	
FATCA / CRS classification for Non-financial entities (NFFE)	
☐ Active NFFE	
☐ Passive NFFE without any controlling Person	
☐ Passive NFFE with Controlling Person(s): ☐ US ☐ Others	
☐ Direct Reporting NFFE (Choose this if any entity has registered itself for direct reporting for FATCA and thus Santosh Kumr Kejriwal Securities Pvt Ltd is not required to do the reporting)	
Please provide GIIN number:	

Section 3: Classification of financial institutions (including Banks)

I/We (on behalf of the entity) certify that the entity is :	
a. An entity is a U.S. financial institution If "Yes", (i) Please provide your Taxpayer Identification Number (TIN) (ii) Please provide GIIN, if any	☐ Yes ☐ No ______________ TIN
If "No", please tick one of the following boxes below:	

FATCA Classification	Please provide the Global Intermediary Identification number GIIN) or other information here
☐ Reporting Foreign Financial Institution in a Model 1 Inter-Governmental Agreement ("IGA") Jurisdiction	
☐ Reporting Foreign Financial Institution in a Model 2 IGA Jurisdiction	
☐ Participating FFI in a Non-IGA Jurisdiction	
☐ Non-reporting FI	
☐ Non-Participating FI	
☐ Owner-Documented FI with specified US owners	